

HK Stock 香港證券 (Effective Date 生效日期 : 2023-11-22)			
Items 項目	Charges 收費		Remarks 備註
Trading Service 交易服務			
Commission 經紀佣金	0.25%	on gross amount 成交金額	minimum: HKD100 最低收費港幣 100 元
Stamp Duty 印花稅	0.10%	on gross amount 成交金額	(round up to nearest dollar) 不足 1 元亦作 1 元計
SFC Transaction Levy 證監會交易徵費	0.0027%	on gross amount 成交金額	
HKEx Trading Fee 交易費 (港交所)	0.00565%	on gross amount 成交金額	
AFRC Transaction Levy 會財局交易徵費	0.00015%	on gross amount 成交金額	
CCASS Settlement Fee 結算所交收費	0.002%	on gross amount 成交金額	minimum: HKD2, maximum: HKD100 最低收費港幣 2 元, 最高收費港幣 100 元
Custodian & Settlement Service 托管交收服務			
Transfer Deed 轉手紙釐印費	HKD5.00	for each transfer deed 每張轉手紙港幣 5 元	
Physical Stock Deposit Fee 存入實物股票費	Nil 無		
Express Registration Fee 特快過戶費	HKD200.00	per instruction 每項指示	plus fees charged by Registrar 另加過戶處收費
Physical Stock Withdrawal Fee (from CCASS) 提取實物股票費 (於香港中央結算提取)	For Listed Securities, HKD5.00 per board lot (minimum: HKD100) 上市證券, 每手為港幣 5.00 (最低收費港幣 100 元)		
	For Mandatory distribution of unlisted securities, HKD50.00 per instruction 強制派送的非上市證券, 每項指示為港幣 50 元		
	For Optional distribution of unlisted securities, HKD500.00 per instruction 非強制派送的非上市證券, 每項指示為港幣 500 元		
Stock Deposit via CCASS Fee (SI/ISI) 交收指示費/投資者交收指示費 (存入股票)	Waive 豁免		
Stock Withdrawal via CCASS Fee (SI/ISI) 交收指示費/投資者交收指示費 (轉出股票)	0.01% market value (based on previous closing price) per stock, Minimum HKD50.00, Max HKD500.00 每隻股票貨值 (以上日收市價計算) 之 0.01%, 最低收費港幣 50 元, 最高收費港幣 500 元		
Custody Fee 股票託管費 (存倉費)	Waive 豁免		
Default Fee on Overdue Short Position 延誤交付股份之失責罰金	0.75% of the market value (based upon the closing price quoted on the Exchange on the due date) of the corresponding short stock position (applicable to compulsory or non-compulsory buy-in), Max HKD150,000 待交付股份數額市值 (以到期交收日聯交所的收市價計算) 之 0.75% (強制性及非強制性補購同時適用), 最高港幣 150,000 元		
Bought & Sold Notes Handling Fee 買賣協議手續費	Negotiable 商議		
Nominee Services & Corporate Actions 代理人服務及企業行動			
Scrip Fee 登記過戶費	HKD1.50	per board lot 每手	
Cash /Scrip Dividends/Coupon Collection Fee 代收現金股息/以股代息/債息費	0.5%	of cash dividend/coupon collected 股息/債息額	minimum: HKD20 / USD 2.60 / CNY 20, maximum: HKD30,000 / USD 3,850 / CNY 30,000 最低收費港幣 20 元 / 美元 2.60 元 / 人民幣 20 元, 最高收費港幣 30,000 元 / 美元 3,850 元 / 人民幣 30,000 元 The Coupon Collection Fee is only applicable to the

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			bond custodied in HKEX 代收債息費只適用於託管於香港交易所之債券
Bonus Shares/Warrants Fee 代收紅股/紅利認股證費	HKD1.00	per board lot 每手	minimum: HKD20 最低收費港幣 20 元
Distribution of unlisted shares Fee 派送非上市股份費	HKD1.00	per board lot 每手	minimum: HKD20, maximum HKD100 最低收費港幣 20 元, 最高收費港幣 100 元
Share Consolidation / Share Splitting Fee 股份合併 / 股份分拆費	Nil 無		
Rights Subscriptions/Warrants Conversions or Open/Preferential Offers, Cash Offers and All Other Corporate Actions Fee 供股/行使認股證或優先認股證·現金收購及其他公司行動費	HKD0.80 per board lot. In addition, HKD50 per instruction 每隻股票每手港幣 0.8 元, 另加每項指示港幣 50 元		
Multi-counter Transfer Instruction Fee 多櫃台轉換指示收費	HKD50.00	per stock 每隻股票	
Application for Scrip Fee Concession 登記過戶費優惠申請	HKD1,000.00	per application 每宗	CCASS Charges (Applicable for Scrip in only) 中央結算所費用 (只適用於轉入股票)
	HKD1,000.00	per application 每宗	Handling Fees 手續費
Handling Fee for Unclaimed Entitlements 處理追收未領取權益費	HKD500.00	per claim and per stock (regardless of the result) plus additional fees for relevant nominee services 以每只股票計·每項追收股息·收費為港幣 500 元·及其他有關之費用(不論追收成功與否均需繳付)	

Shanghai-HK Shenzhen-HK Stock Connect (Northbound Trading) 滬深股通 (北向交易) (Effective Date 生效日期: 2023-8-28)			
Items 項目	Charges 收費		Remarks 備註
Trading Service – Securities 交易服務 – 證券			
Commission 經紀佣金	0.25%	on gross amount 成交金額	minimum: CNY100 最低收費人民幣 100 元
Handling Fee 經手費	0.00341%	on gross amount of a transaction 成交金額	
Securities Management Fee 證管費	0.002%	on gross amount of a transaction 成交金額	
Transfer Fee 過戶費	0.003%	0.001% on gross amount of a transaction 成交金額的 0.001%	Payable under CSDC's Rule 由中國結算收取
		0.002% on gross amount of a transaction 成交金額的 0.002%	Payable under HKSCC's Rule 由香港中央結算收取
Stamp Duty 印花稅	0.05%	on gross amount of a transaction 成交金額	for Sellers only 只適用於賣方
Portfolio Fee 組合費	Waive 豁免		
Trading Service – ETF 交易服務 – 交易所買賣基金			
Commission 經紀佣金	0.25%	on gross amount 成交金額	minimum: CNY100 最低收費人民幣 100 元
Handling Fee 經手費	0.004%	of the consideration of a transaction 成交金額	
Securities Management Fee	Waive		

Shanghai-HK Shenzhen-HK Stock Connect (Northbound Trading) 滬深股通 (北向交易) (Effective Date 生效日期: 2023-8-28)

Items 項目	Charges 收費		Remarks 備註
證管費	豁免		
Transfer Fee 過戶費	0.002%	waive 豁免 0.002% on gross amount of a transaction 成交金額的 0.002%	Payable under CSDC's Rule 由中國結算收取 Payable under HKSCC's Rule 由香港中央結算收取
Stamp Duty 印花稅	Waive 豁免		
Portfolio Fee 組合費	Waive 豁免		

Custodian & Settlement Service 托管交收服務

Settlement Instruction Fee (Stock Deliver Out) 交收指示費 (轉出股票)	0.01% market value per stock based on previous closing price, minimum: HKD50, maximum: HKD500. 每隻股票上日收市總值的 0.01%, 最低港幣 50 元, 最高港幣 500 元。		
Custody Fee 股票託管費 (倉費)	Waive 豁免		
Default Fee on Overdue Short Position 延誤交付股份之失責罰金	1.25% of the market value (based upon the closing price quoted on the Exchange on the due date) of the corresponding short stock position (applicable to compulsory or non-compulsory buy-in), Max HKD250,000 待交付股份數額市值 (以到期交收日聯交所的收市價計算) 之 1.25% (強制性及非強制性補購同時適用), 最高港幣 250,000 元		

Nominee Services & Corporate Actions 代理人服務及企業行動

Cash /Scrip Dividends/Coupon Collection Fee 處理/代收現金股息/股票股息費	0.5%	of cash dividend collected 股息/紅利額	minimum: CNY20 最低收費人民幣 20 元
Rights Subscriptions/Warrants Conversions or Open/Preferential Offers, Cash Offers and All Other Corporate Actions Fee 供股/行使認股證或優先認股證·現金收購及其他公司行動費	CNY0.8	per board lot 每手	In addition, CNY50 per instruction 另加每項指示人民幣 50 元

United States 美國 (Effective Date 生效日期: 2024-5-20)

Items 項目	Charges 收費		Remarks 備註
Trading Service 交易服務			
Commission 經紀佣金	0.25%	on gross amount 成交金額	minimum: USD25 最低收費 25 美元
Trading Activity Fee 交易活動費	USD0.000166	per share 每股	minimum: USD0.01 and maximum: USD8.30, (for Sellers only) 最低收費 0.01 美元和最高收費 8.30 美元, (只適用於賣方)
SEC Fee 證監會費	0.00278%	on gross amount 成交金額	(for Sellers only) (只適用於賣方)
Non DTC Eligible Stock Clearing Fee 非 DTC 結算交易費	USD75	per transaction 每宗	Based on different stocks and total shares traded, the chargeable fees may exceed the order consideration. 按不同股票種類計算·有關費用可能超過交易指示的金額

Custodian & Settlement Service 托管交收服務

Electronic Deposit Fee 電子存入費	USD50	per transaction 每宗	
Electronic Withdrawal Fee 電子提出費	USD50	per transaction 每宗	
Physical Stock Deposit/Physical Stock Withdrawal Fee	** Service not provided ** ** 不提供此服務 **		

United States 美國 (Effective Date 生效日期: 2024-5-20)			
Items 項目	Charges 收費		Remarks 備註
存入實物股票費/提取實物股票費			
ADR Depository Fee 預託證券存管費	USD0.01-0.05	per share 每股	Per share per book close collected by the ADR issuers 於截止過戶日收取(代發行商收取)
Nominee Services & Corporate Actions 代理人服務及企業行動			
Dividend Collection Fee 股息代收費	0.3%	on gross dividend 股息	minimum: USD10 最低收費 10 美元
Dividend Tax 股息稅	30%	on gross dividend 股息	Deduct upon receiving the dividend 接收股息時扣除

Bond 債券 (Effective Date 生效日期: 2022-6-29)			
Items 項目	Charges 收費		Remarks 備註
Commission 經紀佣金	0.25%	on gross amount 成交金額	
Minimum purchase amount 最少買入債券金額	100,000 - 200,000 US dollars, depending on the bond requirement 10 萬 - 20 萬美元, 視乎不同債券而定		
Deposit Fee 存入費	HKD500	per transaction 每宗	
Withdrawal Fee 提出費	HKD500	per transaction 每宗	
Coupon Interest Collection Fee 代收債息費	0.5%	of bond interest 債券行息	minimum: USD25 / the equivalent of USD25 最低收費 25 美元, 或等值 25 美元的其他貨幣
Corporate Action / Redemption Fee 公司行動 / 債券贖回費	HKD500 / USD65 / CNY500	per transaction 每宗	
Convertible Bonds Exercise Fee 可換股債券行使費	1%	on market value or nominal value of Convertible Bonds 以可換股債券市值或面值計算	In addition, HKD150 per board lot 另加轉換股份每手 HKD150
Custody Fee 托管費	0.05% p.a. on nominal value (calculated on a daily basis in equivalent USD) 以每日持有債券票面值按年率 0.05%(折合美元計算)		Min USD50 per month per account 最低美元 50 元 (每戶每月)

ETF / Other Fund 交易所買賣基金/其他基金 (Effective Date 生效日期: 2020-5-6)			
Items 項目	Charges 收費		Remarks 備註
Creation/Subsription Fee 認購費/申購費	Negotiable 商議		(Plus other one-off fees incurred actually from creation / subscription) (另加其他認購/申購實際產生的一次性綜合費用)
Redemption Fee 贖回費	Negotiable 商議		(Plus other one-off fees incurred actually from redemption) (另加其他贖回實際產生的一次性綜合費用)
Switching Fee 轉換費	Negotiable 商議		(Applicable to fund switching of the same fund company) (適用於相同基金公司之基金轉換)

Other services 其他服務 (Effective Date 生效日期: 2024-7-15)			
Items 項目	Charges 收費		Remarks 備註
Market Data Related Charges for HK Stock 證券資訊服務			
Internet Trading Platform Fee 網上交易平台費	Waive 豁免		
TSCI HK Stocks Quotes Fee 捷利港股行情費	HKD380.00	per month 每月	
TSCI HK And US Stocks Quotes Fee	HKD460.00	per month	

Other services 其他服務 (Effective Date 生效日期: 2024-7-15)			
Items 項目	Charges 收費		Remarks 備註
捷利港股及美股行情費		每月	
TSCI HK Stocks Quotes Fee (Mainland) 捷利港股行情費 (內地版)	HKD210.00	per month 每月	For use In Mainland only 只限中國境內使用
TSCI HK And US Stocks Quotes Fee (Mainland) 捷利港股及美股行情費 (內地版)	HKD290.00	per month 每月	(For use In Mainland only 只限中國境內使用
Other Fees 其他收費			
Overdue Settlement Charge for Cash Clients' Transactions 現金帳戶過期交收利息	Base rate + 6% p.a. 基準年利率 + 6%		For Base rate, refer to our company website 有關基準年利率，請參考本公司網頁
Margin Clients' Loan Interest 保證金帳戶貸款利息	Base rate + 4% p.a. 基準年利率 + 4%		For Base rate, refer to our company website 有關基準年利率，請參考本公司網頁
IPO Financing Application Fee 新股融資認購費	HKD100.00	per application 每份申請	
Cancellation / Amendment Fee of IPO 任何更改或取消新股認購費	HKD100.00	per application 每份申請	
Currency Exchange Fee 兌換貨幣手續費	0.05%	per transaction 每宗	
FPS Withdrawal Handling Fee 轉數快出金手續費	Waive 豁免		FPS is only applicable to amount up to HKD 1 million or RMB 0.75 million. 轉數快只適用於 100 萬港元或 75 萬人民幣或以下之提款。
CHATS Withdrawal Handling Fee 本地銀行轉賬出金手續費	HKD100 / USD15 / CNY100 per case 每項 HKD100 / USD15 / CNY100		
T/T Withdrawal Handling Fee 海外電匯出金手續費	HKD400 / USD55 / CNY400 per case 每項 HKD400 / USD55 / CNY400		
Cheque Withdraw Handling Fee 本地銀行支票出金手續費	Waive 豁免		
Returned Cheque Fee 退票手續費	HKD300.00	per cheque 每張	
Stop Payment Fee 終止付款手續費	HKD300.00	per case 每項	
Cheque Marked Good Fee 保付支票手續費	HKD250.00	per case 每項	
Request for Audit Confirmation Letter Fee 戶口結餘證明書手續費	HKD300.00	per certificate 每份證明書	
Handling Fee for non-trading A/C 不活躍賬戶手續費	Maximum HKD100.00 / USD15 / CNY100 per year 每年最高 HKD100.00 / USD15 / CNY100		Account has no trading activity for one year 賬戶一年內沒有交易
Re-send copies of Account Statements Fee 重發歷史帳戶結單手續費	HKD100.00	per statement 每份	Within past 6 months: Free of charge Over 6 months: HKD100 per statement 6 個月之內：免費 超過 6 個月：每份結單港幣 100 元
Account Statement Postage Fee 郵寄結單手續費	HKD30.00	per statement 每份	

Notes 備註:

Charges and Fees are subject to change without prior notice. For stock to be denominated in CNY, the fees are based on CNY. Base rate is subject to change from time to time. Please visit our company web site www.ssif.com.hk for more details.

收費及費用可隨時更改而毋須預先通知客戶。

人民幣結算的股票，收費則以人民幣作單位。

基準年利率由我司不時厘定，詳情請參閱我司主網頁 www.ssif.com.hk。