

**SECURITIES / BOND SETTLEMENT INSTRUCTION FORM**

**證券 / 債券 交收指示表**

To **Shanxi Securities International Limited**  
致： **山證國際證券有限公司**

**Account Name** **Account No.** \_\_\_\_\_  
賬戶名稱 : \_\_\_\_\_ 賬戶號碼 :  **Cash 現金**  **Margin 保證金**

Please tick the appropriate box. 請在適當方格處打✓

<input type="checkbox"/>	Please <b>receive</b> the following securities / bonds for my / our above securities account 請將下列證券/債券 <b>收到</b> 本人/吾等上述證券帳戶
<input type="checkbox"/>	Please <b>deliver</b> the following securities / bonds from my / our above securities account 請從本人/吾等上述證券帳戶 <b>交付</b> 證券/債券

**Our Securities Instruction Details 山證國際證券交收資料:**

**CCASS No 中央結算代碼:** B01980  
(For Bond Market) **Clearstream No. :** 23375 (For US Equity Market) **DTC No.:** 0158, custody A/C# 2QI05110  
**Contact Person 聯絡人 :** Settlement Dept. 交收部 **Contact Tel No 聯絡人電話 :** +852 2501 1001

Via Settlement System to effect the settlement in accordance with the details as follows 並透過交收系統按以下內容執行交收指示:-

Name of Counter-party 交收對手名稱		Trading Date(Bond) 交易日期(債券)			
Counter-party CCASS / Euroclear / Clearstream No. 交收對手結算代碼		Settlement Date 交收日期			
Counter-party Contact Person Name 對手聯絡人姓名		Counter-party Contact No. 對手聯絡人電話			
Customer A/C Name In Counter-party 客戶在對手之賬戶名稱		Customer A/C No. In Counter-party 客戶在對手之賬戶號碼			
Market 市場 : <input type="checkbox"/> H.K. 香港 <input type="checkbox"/> U.S. 美國 <input type="checkbox"/> China Stock Connect 中國滬/深通 <input type="checkbox"/> Other 其他 _____					
Stock/ISIN Code 股票/債券編號	Stock / Bond Name 股票 / 債券名稱	Quantity 數量	Method of Payment 付款方法*	Amount 金額 (HKD / USD / CNY) (港幣/美元/人民幣)	For Internal Use Only SI Fee (HKD / USD / CNY)
			<input type="checkbox"/> DVP <input type="checkbox"/> FOP		
			<input type="checkbox"/> DVP <input type="checkbox"/> FOP		
			<input type="checkbox"/> DVP <input type="checkbox"/> FOP		
			<input type="checkbox"/> DVP <input type="checkbox"/> FOP		
			<input type="checkbox"/> DVP <input type="checkbox"/> FOP		
			<input type="checkbox"/> DVP <input type="checkbox"/> FOP		
DVP = Delivery Versus Payment 貨銀對付 FOP = Free of Payment 無需付款.			Total (HKD / USD / CNY) 總金額 (港幣 / 美元 / 人民幣)		

**備註 Remarks:** Please debit the captioned account for any costs or charges so incurred. 請於本人/吾等之證券帳戶中扣除一切開支。  
I/We declare that the transfer of the above securities / bonds involve no change in beneficial ownership.  
本人/吾等聲明就上述有關證券/債券的實益擁有權並沒有因進行本交收指示而產生變動。  
\*\*\* SI Form for same day settlement has to be reached our Settlement Department before 12:00 noon on the settlement day.  
如欲即日交收，此指示表格務必於當日中午十二時前交付至交收部。\*\*\*



S.V.

**Client or Director / Authorized Signature (with company chop)**  
戶口持有人 或 公司董事 / 獲授權人 簽名 (蓋公司章)

**Date**  
日期

For Internal Use Only		
Ack. by CS/AE: <input type="checkbox"/> Same client	Authorized by R.O. (If applicable):	Ack./approved by Risk:
Inputted by Settlement:	Checked by Settlement:	Checked by Acct.: